



## Richard S. Glaser Jr.

Partner

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t 704.335.9531



Rick Glaser focuses his practice in the areas of white collar criminal defense and internal investigations. He works with public and private corporations to understand the foundation of the charge, build an investigative strategy, conduct a full inspection, and then provide conclusions and recommended actions. He works on investigations involving grand juries, federal and state courts, regulatory agencies and auditors, congressional inquiries, and civil disputes.

Prior to joining the firm, Rick served as First Assistant and Executive Assistant United States Attorney for the Western District of North Carolina, responsible for oversight and operational review of the Civil, Administrative, and Criminal Divisions of the district. Since joining the firm to help create the Government Investigations & White Collar Defense Team, he has been responsible for overseeing antitrust, health care, accounting, and general fraud internal investigations, as well as representing individuals in major antitrust, accounting, securities, general fraud, and health care criminal investigations.

As a lead evaluator and former director of the U.S. Department of Justice's (DOJ) Evaluation and Review Staff, the DOJ's self-evaluating body, Rick gained a deep understanding of how the U.S. Attorneys' Offices operate throughout the nation. He is able to focus on the challenges that businesspeople may face when encountering a federal lawsuit. In addition, he frequently lectures and makes presentations in both white collar and criminal investigations areas to clients and special outside groups.

Rick previously served on Parker Poe's board of directors and served as the chair of the Litigation Department. Rick created and currently heads up the firm's Government Investigations & White Collar Defense Team.

### REPRESENTATIVE EXPERIENCE

- More than 20 years of experience in grand jury investigations, including major health care fraud, insurance fraud, public corruption, bankruptcy fraud, and Securities and Exchange Commission-related cases.
- More than 90 criminal jury trials in federal court and has handled health care qui tam (whistleblower) cases in federal court.



### CHARLOTTE OFFICE



### PRACTICE AREAS

Government Investigations & White Collar Defense Litigation  
 Antitrust & Trade Regulation  
 False Claims Act Investigations & Whistleblower Defense



### EDUCATION

West Virginia University  
 JD, 1980  
 Duke University  
 BA, 1976



### ADMISSIONS

North Carolina, 1986  
 West Virginia, 1980



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- Internal investigations, audits and compliance matters have included health care providers ranging from international to regional companies, HHS-OIG defense, import/export control, EAR, and Foreign Corrupt Practices Act (FCPA) matters, addressing specific situations and scenarios for companies to be aware of and to enable companies to evaluate potential risks.
- Successfully supervised and coordinated internal investigations of seven independent subsidiaries of an international company being investigated by the Antitrust Division of the U.S. Department of Justice.
- Achieved appropriate outcome in the defense of a shipping lines official in major prosecution by the Antitrust Division of the U.S. Department of Justice.
- Represented a North Carolina state senator in a campaign funding prosecution and argued for – and was granted – a major variance from the guideline sentence, serving only a minimal amount of time, based on a number of statutory factors.
- Successfully argued in a high-profile securities fraud case for the reduction of the defendant's time in prison to time served, which was one quarter of his original sentence.

In addition to successful prosecution of numerous white collar cases, including major health care fraud, he has obtained major verdicts in the following cases over the years:

- *United States v. ArthroCare Corp.* – A \$400 million fraud case in which he assisted the company in securing a dramatically reduced fine and a shorter deferred prosecution agreement than is typical.
- *United States v. Caldwell* – Key-man insurance fraud involving a double homicide.
- *United States v. Musgrove* – Bankruptcy fraud of more than \$6 million.
- *United States v. Lanier* – Securities and Exchange Commission-related insider trading.
- *United States v. Wade* – The seminal case regarding a prosecutor's sole right to file a substantial assistance motion in a federal case, which was handled by Rick at both the district and circuit court levels and affirmed by the United States Supreme Court in a published opinion.



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- Major medical laboratory – Investigation and prosecution.

### CLIENT ALERTS

- "What the U.S. Supreme Court's Cell Tower Ruling Means for the Intersection of Privacy and Modern Technology," July 2018
- "Helping Our Fellow Litigators in Guam," February 2018
- "Payments Extorted by Foreign Officials," December 2011
- "UK Bribery Act Could Have Major Impact on Domestic Companies' Business Overseas," September 2011
- "Department of Justice Announces "New Era" of FCPA Enforcement in 2010, Increases Actions by Record 85%," February 2011
- "Pharmaceutical Industry Targeted for Overseas Violations of Anti-Bribery Law," September 2010

### PUBLICATIONS

- "What Businesses Need to Know About Government Investigations in 2019," TerraLex Connections, February 2019
- "Managing International Investigations: Conceptions of Attorney-Client Privilege and Their Effect on Internal Investigations," TerraLex Connections, October 2017
- "Internal investigations: No longer optional," February 2012

### SPEAKING ENGAGEMENTS

- "Regulatory Risks: The Exposures and Insurance Solutions," American Society for Healthcare Risk Management, N.C. Chapter, November 2016
- "Advanced Trial Techniques for Micronesian Attorneys and Judges ," American College of Trial Lawyers, Palau, October 2015



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- "Qui Tam Litigation: A Practitioner's Symposium," ABA Criminal Justice Section and the White Collar Crime Committee/Southeast Region Subcommittee, September 2015
- "ABA White Collar Crime Conference," Presenter, Miami, FL, March 2014
- "Maneuvering in Medicare's World," Cornwell Center, Charlotte, N.C., October 2011
- "Foreign Corrupt Practices Act (FCPA) Seminar: Know Your Risks and Limit Your Exposure," Durham and Charlotte, N.C., December 2010
- "FCPA Conference," Presenter, May 2009
- "White Collar Crime Conference," North Carolina Bar Association, Facilitator & Organizer, May 2009
- "Medicare Fraud Seminar," Presenter, January 2009
- "The Criminal Defense Trial from Start to Finish," Presenter, NBI, January 2008
- "Healthcare Financial Management Association (Virginia Chapter)," Presenter, Virginia Beach, VA, August 2006
- "Healthcare Financial Strategies Conference," Presenter, Charleston, SC, May 2006
- "Document Retention and Destruction in North Carolina Post Enron," Lorman Education Services, August 2003

## HONORS

- Fellow, American College of Trial Lawyers
- *The Best Lawyers in America* "Lawyer of the Year" in Charlotte for Health Care Litigation, 2020
- *The Best Lawyers in America* in Criminal Defense: White Collar, 2008-2020; Qui Tam Law, 2018-2020; Health Care Litigation, 2019-2020
- *Chambers USA: America's Leading Lawyers* in Litigation: White Collar Crime & Government Investigations, 2012-2019
- *North Carolina Super Lawyers*, 2009-2019



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- *Business North Carolina* magazine's "Legal Elite" in Criminal Law, 2009-2010 & 2012

### MEMBERSHIPS

- American Bar Association, Co-Chair, Southeast Region White Collar Crime Committee
- WDNC Local Rules Committee, Vice-Chair, 2015
- Temple Beth El Congregation, Charlotte, NC, President, 2012-2014; Board of Directors; Counsel to the Board
- Foundation for the Charlotte Jewish Community (FCJC), Board of Directors
- CONEXX (American-Israel SE Chamber of Commerce), Board of Directors